



**DELTA ELECTRONICS, INC.**

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## **Delta Group Responsible Sourcing Policy**

### **台达责任原物料采购政策**

Endorsed by the Board of Directors on July 31, 2024.

董事会 2024 年 7月31 日通过



## 1. Background 背景

The Delta Responsible Sourcing Policy is to ensure that Delta's raw material procurement actions and decisions comply with the principles provided by the OECD Guidelines for Multinational Enterprises, International Labour Organization (ILO) Conventions, and the United Nations Global Compact (UNGC), including environmental protection, human rights and labor rights, transparent business relationships, fair competition, and supply chain due diligence.

台达为确保公司于采购原物料行动与决策上，遵循《经济合作与发展组织跨国企业行为指南》(OECD Guidelines for Multinational Enterprises)、《国际劳工组织公约》(International Labour Organization, ILO Conventions) 以及《联合国全球契约》(United Nations Global Compact, UNGC) 等提供之原则，包括环境保护、人权与工人权利、透明的商业关系、公平竞争以及对供应链的尽职调查等。

As an active participant in the global electronics industry supply chain, we support the Responsible Minerals Initiative (RMI) through practical actions to ensure that our mineral sources are responsible and ethically sourced.

作为全球电子产业供应链的积极参与者，透过实际行动，我们支持《责任矿产计划》(Responsible Minerals Initiative, RMI)，以确保我们的矿产来源是负责任且符合道德标准的。

Meanwhile, we collaborate with suppliers, customers, and other stakeholders to jointly promote and improve the overall sustainability performance of the use of raw materials. We strengthen our ongoing commitment to supply chain transparency and traceability. This enables us to achieve broader environmental and social goals while demonstrating our active contribution to promoting global peace and development.

同时，我们也与供货商、客户及其他利害关系人合作，共同推动和提升原物料使用的整体永续性表现，并加强供应链透明度和可追溯性的持续承诺，从而实现更广泛的环境和社会目标，同时也显示了我们对促进全球和平与发展的积极贡献。

## 2. Scope 范畴

This policy applies to Delta Electronics and its subsidiaries, of which Delta holds more than 50% on a global scale and has operational control, as well as upstream suppliers and partners.

本政策，适用范围包括台达电子、其全球持股超过 50%具营运控制权的子公司、上游供货商及其他伙伴共同遵守此原则。

### 3. Commitment 承诺

The Delta Responsible Sourcing Policy aims to ensure all actions and decisions in the raw material procurement process adhere to responsible sourcing and sustainability principles. This policy strengthens our commitment to collaborating with suppliers and creating a long-term, sustainable, and successful future for all. We commit to the following guidelines:

台达责任原物料采购政策以达成公司在采购原物料过程中，确保所有行动和决策遵循负责任采购和永续性的原则，以强化我们与供货商合作的承诺，为各方创造长期、可持续和成功的未来。达成以下承诺与准则：

#### (1) Minimize raw materials from negative sustainability impacts 减少负面永续性影响

- Optimize the use of raw materials, and adopt the most novel technologies and methods to reduce ecological footprints.  
优化原物料使用，采用最新技术和方法以降低生态足迹。
- Prioritize raw materials with lower negative impacts on environmental and society.  
优先选择对环境与社会负面影响较低的原物料。

#### (2) Collaborate with stakeholders 与利害关系人合作

- Cooperate with industry peers, non-governmental organizations (NGOs), and relevant associations to share and promote the best practices for sustainable raw materials.  
与行业同侪、非政府组织（NGO）和相关公协会合作，分享并推广永续原物料的最佳实践。
- Regularly participate in industry seminars and conferences to continually update and improve procurement practices.  
定期参加行业研讨会和会议，以持续更新和改进采购实务。

#### (3) Enhance the use of third-party verified raw materials 增加使用第三方验证的原物料

- Encourage suppliers to obtain third-party certifications for raw materials as a standard for prioritizing procurement evaluation.  
鼓励供货商取得原物料的第三方认证取得，作为公司评估优先采购之标准。

- Prioritize the use of critical raw materials with third-party sustainability and quality certifications.  
优先采用关键原物料具有第三方的永续性和质量认证。
- Regularly review and assess the certification status of raw materials to ensure their validity and applicability.  
定期审查和评估原物料的认证状态，以确保其有效性和适用性。

(4) Enhance the use of sustainable materials and recycled materials 增加使用回收的永续料与再生料

- Prioritize the procurement of recycled raw materials where possible.  
在可能的情况下，优先采购回收材料。
- Set target ratios to gradually increase the use of recycled materials in production and publicly disclose progress towards achieving these goals.  
设立目标比例，逐步增加回收材料在生产中的使用比例，并公开揭露目标达成情形。

(5) Avoid using raw materials from areas important for biodiversity 避免使用来自重要生物多样性区域的原材料

- Avoid using raw materials sourced from globally or nationally important biodiversity sensitive areas.  
避免使用来自全球或国家重要生物多样性敏感区的原材料。
- Collaborate with environmental protection organizations to ensure the supply chain does not harm areas important for biodiversity.  
与环境保护组织合作，确保供应链不对重要生物多样性区域造成破坏。

(6) Implementing responsible conflict minerals due diligence 负责任冲突矿产尽职调查

- Pursue conflict-free minerals, rejecting products containing tantalum (Ta), tin (Sn), tungsten (W), gold (Au), cobalt (Co), and Mica from illegal mining areas in the Democratic Republic of Congo or neighboring countries.  
追求零冲突矿产，拒绝含有来自刚果人民共和国或其邻近国家之非法开采地区之钽(Ta)、锡(Sn)、钨(W)、金(Au)、钴(Co)以及云母(Mica)等矿产之产品。

- Commit to not supporting or using minerals from conflict-affected and high-risk areas (CAHRAs) associated with armed conflicts, illegal mining, and poor working conditions.

承诺不支持或使用来自武装冲突、非法采矿与低劣工作环境等高风险地区（Conflict-affected and High-risk Areas, CAHRAs）中的矿产。

- We require the supply chain to use smelters verified by the Responsible Minerals Assurance Process (RMAP) as defined by RMI, and encourage upstream suppliers to promote qualified smelters to pass audits.

我们要求供应链使用 RMI（Responsible Minerals Initiative）所定义负责任矿产保证流程（Responsible Minerals Assurance Process, RMAP）验证的金属冶炼厂，鼓励上游供货商推动符合资格的冶炼厂通过审查。

#### 4. Implementation and Monitoring 执行与监控

##### (1) Sustainable raw material sourcing 永续原物料采购

- Quantify sustainable raw material audit criteria, establish priority procurement standards, and create a sustainable raw material database for business units to select from.

量化永续原物料审核标准，订定优先采购标准并建置永续原材料数据库，提供事业群挑选使用。

- Execute raw material procurement and proportion analysis, identifying and analyzing the main raw material procurement categories and their sustainability performance across business groups.

执行原物料采购及占比分析，识别和分析各业务群组中主要的原物料采购类别及其永续性表现。

- Conduct raw material production traceability and sustainability audits to ensure raw materials in the supply chain meet sustainability standards, particularly avoiding materials from ecologically sensitive areas.

进行原物料生产溯源与永续性审查，确保供应链中的原材料符合永续性标准，特别是避免来自生态敏感区域的原材料。

- Provide internal stakeholder training to deepen the understanding of sustainable procurement concepts and practices.

进行内部利害关系人训练，深化永续采购观念与实务训练

- Engage in direct dialogue and negotiation with key raw material suppliers to ensure supply chain stability and sustainability.

与关键原物料供货商进行直接对话和议价，确保供应链的稳定性与永续性。

- Establish continuous monitoring mechanisms to regularly evaluate the performance of raw materials and the sustainability performance of suppliers.

建立持续监控机制，定期评估原物料的性能和供货商的永续表现。

## (2) Responsible conflict minerals procurement 负责任冲突矿产采购

- Conduct supply chain due diligence based on the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas to ensure our products do not contain minerals sourced from the areas associated with armed conflicts, illegal mining, or poor working conditions.

依据 OECD 所发布之受冲突影响与高风险地区之责任矿物供应链尽职调查指南，执行供应链尽职调查，确保我们的产品不含来自武装冲突、非法开采或劣质工作环境的矿产。

- We require suppliers to complete conflict minerals survey templates, including CMRT, EMRT, PRT, and provide relevant origin documentation. Suppliers should convey this policy to their upstream suppliers and supply chain, requiring upstream suppliers to comply jointly.

我们要求供货商须配合填写冲突矿产调查模板，包含 CMRT (Conflict Minerals Reporting Template)、EMRT (Extended Minerals Reporting Template)、PRT (Pilot Reporting Template)，并提出相关产地左证。供货商应将此政策传达给其上游供货商及供应链，并要求其上游供货商共同遵守。

This policy will be reviewed annually in accordance with international standards and industry developments to ensure its continued adaptability and effectiveness.

本政策将根据国际标准和行业发展每年进行审查，以确保其持续适应性和有效性。